

110303

17224 U.S. PTO

EXPRESS MAIL LABEL  
ER 206760901

November 3, 2003

17548 U.S. PTO  
10/700804  
110303

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b) (continuation)**

Transmitted herewith for filing is a **TRANSMITTAL OF FILING A CONTINUATION APPLICATION** in the patent application of **WILLIAM J. TSUMPES**, along with **A COPY OF THE PRIOR APPLICATION S.N. 10/227,752** including the Declaration and Small Entity Statement, a **PRELIMINARY AMENDMENT**, and a **NON-PUBLICATION REQUEST**.

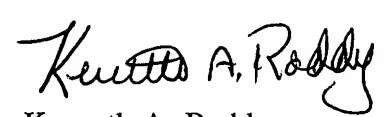
**THIS APPLICATION IS A CONTINUATION OF S.N. 10/227,752, pending.**

The filing fee has been calculated as shown below:

Basic Fee SMALL ENTITY. . . . .	\$375.00
Total claims 2 - 20 = 0 x \$9.00 = . . . . .	\$ 00.00
No. of independent claims 2 - 3 = 0 x \$42.00 = . . . . .	<u>\$ 00.00</u>
<b>TOTAL FILING FEE</b>	<b>\$375.00</b>

A check in the sum of \$375.00 is attached to cover the filing fee as per the above schedule.

Respectfully submitted,



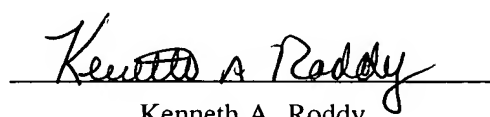
Kenneth A. Roddy  
Agent for Applicant  
Registration No. 31,294  
Telephone (713) 686-7676

Encls

**CERTIFICATE OF MAILING - EXPRESS MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10, postage prepaid, in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313, on

Nov. 3, 2003



2916 West T.C. Jester Blvd.  
Suite 100  
Houston, TX 77018  
(713) 686-7676

Kenneth A. Roddy  
Agent for Applicant  
Registration No. 31,294

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Prior Application: 10/227,752**

Examiner: Wing F. Chan

Art Unit: 2643

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 3, 2003

Sir:

**TRANSMITTAL OF FILING UNDER 37 CFR 1.53(b)**

This is a request for filing a continuation application under 37 CFR 1.53(b), of pending prior application: Serial No. 10/227,752, filed August 26, 2002 of William J. Tsumpes, titled AUTOMATED PARALLEL AND REDUNDANT SUBSCRIBER CONTACT AND EVENT NOTIFICATION SYSTEM.

**1 Copy of Prior Application as Originally Filed Which is Attached.**

Enclosed is a complete copy of the prior application, including the preliminary amendment, and a second amendment, as originally filed. I hereby verify that the attached papers are a true copy of what is shown in my records to be the above-identified prior application, as originally filed. The copy of the prior application as filed which are attached are as follows:

(a) Amendment filed April 17, 2003 in prior application Serial No. 10/227,752;

(b) Preliminary Amendment filed in prior application Serial No. 10/227,752 with four (4)

sheets of formal drawings; and

(c) Twenty-eight (28) pages of specification and claims of prior application Serial No. 10/227,752, with four (4) sheets of informal drawings, Two (2) pages of Declaration and Power Of Attorney; and One (1) page Small Entity Statement.

## 2. Amendments

A preliminary amendment for this continuation is enclosed.

## 3. Fee Calculation

A check in the amount of \$375 is enclosed as the requisite filing fee for a Small Entity.

## 4. Small Entity Status

A verified statement that this filing is by a small entity has been filed in the parent application and such status is still proper and desired.

## 5. Drawings

The drawings in this continuation are the same as the drawings in the accompanying prior application Serial No. 10/227,752.

## 6. Priority - 35 U.S.C. 120

Priority of U.S. Patent Application Serial No. 10/227,752, filed August 26, 2002 is claimed under 35 U.S.C. 120.

## 7. Relate Back - 35 U.S.C. 120

The specification has been amended to insert the heading: CROSS REFERENCE TO RELATED APPLICATIONS, and the following sentence:

This is a continuation of and claims priority under 35 U.S.C. 120 to copending U.S. Patent Application Serial No. 10/227,752, filed August 26, 2002, which is a continuation of U.S. Patent Application Serial No. 09/617,872, filed July 17, 2000, now U.S. Patent 6,442,241, which claims priority of U.S. Provisional Application Ser. No. 60/143,979, filed Jul. 15, 1999, the pendency of which was extended until Jul. 17, 2000 under 35 U.S.C. 119(e)(3).

8. Inventorship

With respect to the prior copending U.S. application which this application claims benefit under 35 U.S.C. 120, the inventor in this application is the same.

9. Power Of Attorney

The Power Of Attorney appears in the original papers in the prior application.

10. Notification In Parent Application Of The Filing Of This Continuation Application

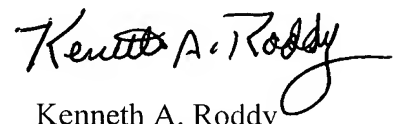
A notification of the filing of this continuation application is being filed in the copending application from which this application claims priority under 35 U.S.C 120.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

November 3, 2003

2916 West T.C. Jester  
Suite 100  
Houston, TX 77018  
(713) 686-7676

Respectfully submitted,

A handwritten signature in black ink that reads "Kenneth A. Roddy". The signature is stylized with a large, flowing "K" and a cursive "Roddy".

Kenneth A. Roddy  
Agent for Applicant  
Registration No. 31,294

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	WILLIAM J. TSUMPIES
	Title	AUTOMATED PARALLEL AND REDUNDANT SUBSCRIBER CONTACT AND NOTIFICATION SYSTEM
	Atty Docket Number	

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Nov. 3, 2003

Date

Kenneth A. Roddy

Signature

Kenneth A. Roddy  
Agent for Applicant

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**